

# Neural nets and star/galaxy separation in wide field astronomical images

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## Abstract

*One of the most relevant problems in the extraction of scientifically useful information from wide field astronomical images (both photographic plates and CCD frames) is the recognition of the objects against a noisy background and their classification in unresolved (star-like) and resolved (galaxies) sources. In this paper we present a neural network based method capable to perform both tasks and discuss in detail the performance of object detection in a representative celestial field. The performance of our method is compared to that of other methodologies often used within the astronomical community.*

## 1. Introduction

Astronomical wide field imaging (hereafter WFI) and its most extreme case, all sky surveys such as the Palomar Sky Surveys (POSS I & II), are the main tools to tackle astronomical problems requiring statistically significant samples of optically selected objects. In the past, WFI has also been the main supplier of targets for photometric and spectroscopic follow-up's at telescopes of the 4 meter class. The exploitation of the new generation telescopes in the 8 meter class, which are mainly aimed to observe targets which are too faint to be detected on photographic material (the POSS-II detection limit in B is  $\sim 21.5$  mag) requires new digitised surveys realized with large format CCD detectors mounted at 2 meter class dedicated telescopes. Much effort is currently devoted worldwide to construct such facilities: the MEGACAM project at the CFH, the ESO Wide Field Imager at the 2.2 meter telescope, the Sloan - DSS and the ESO/OAC VST, to quote only some of the ongoing or planned experiments. One aspect which is never too often stressed is the humongous problem

posed by the handling, processing and archiving of the data produced by these instruments: the VST, for instance [2] is expected to produce a flow of almost 20 GByte of data per night or 10 Tbyte per year of operation. Such a huge flow of data cannot be effectively dealt with traditional data reduction packages and calls for modern A.I. based approaches.

In this paper we present a new, neural network (NN) based method, capable to perform object detection and star/galaxy separation. Due to space limitation we shall focus our attention mainly on the experimental results relative to the first step.

## 2. Preprocessing and object detection

After the standard preprocessing of the data [1] we perform the following steps:

- we first run a 3x3 or 5x5 window on the image in order to determine the value of the central pixel;
- we then use Robust Principal Component Analysis (PCA) NNs to reduce to 3 the dimensionality of the input space.
- Therefore, since supervised NN's need a large amount of labeled data to obtain a good classification, we use unsupervised NN's to segment the pixels into six classes (one for the background and five for the objects).
- We then group the five objects classes into one and are left with two classes only: background and objects.
- Finally, in order to split overlapping objects, we run a simple but effective deblending algorithm, capable to isolate the objects against the noisy background.

### 2.1 Preprocessing and object detection

PCAs can be neurally realized in various ways; we used a feedforward neural network with only one layer which

is able to extract the principal components of the stream of input vectors. The structure of the PCA NN can be summarized as follows: there is one input layer, and one forward layer of neurons totally connected to the inputs; during the learning phase there are feedback links among neurons, that classify the network structure as either hierarchical or symmetric, depending on the feedback connections of the output layer neurons. Typically, Hebbian type learning rules are used. Many different versions and extensions of the basic learning algorithm have been proposed in recent years [16], [20], [9]. After the learning phase, the network becomes purely feedforward. [9] proved that PCA neural algorithms can be derived from optimization problems, such as variance maximization and representation of error minimization, and derived the so called robust PCA algorithms and nonlinear PCA algorithms. More precisely, in the robust generalization of variance maximization, the objective function  $f(z)$  is assumed to be a valid cost function [9] such as  $\ln \cos(z)$  and  $|z|$ . This leads to the adaptation step of the learning algorithm:

$$w_{ji}^{(t+1)} = w_{ji}^{(t)} + \mu g \left( y_j^{(t)} \right) e_{ji}^{(t)} \quad (1)$$

where:

$$e_{ji}^{(t)} = x_i^{(t)} - \sum_{i=1}^{l(j)} y_i^{(t)} w_{ji}^{(t)}$$

$$g = \frac{df}{dz}$$

In the hierarchical case  $l(j) = j$  and in the symmetric case  $l(j) = M$ . The learning function  $g$ , derivative of  $f$ , is applied separately to each component of the argument vector. In previous experiments [23] we found that the hierarchical robust NN of eq.1 with learning function  $g^{(t)} = \tanh(\alpha x)$  performs better than all the other PCA NN's and linear PCA.

## 2.2 Unsupervised NNs

The NNs used in this section are based on the classical unsupervised neural models: Kohonen Self Organizing Maps [11], Neural-Gas [13], Growing Cell Structure (GCS) [6], on-line K-means clustering algorithm [12], Maximum Entropy NN [19]. All these methods allow to partition the input space into clusters and to assign a weight vector corresponding to the template characteristic of a cluster in the input space to each neuron. As a consequence, after the learning, an input pattern is assigned to the class corresponding to the nearest neuron.

We preferred to reduce the well-known complexity of

the post-processing labeling adding an unsupervised single layer NN to the output of the first layer NN. In this way, the second layer NN learns from the weights of the first layer NN and clusters the neurons on the basis of a similarity measure or a distance. The iteration of this process gives the unsupervised hierarchical NN's. The number of neurons at each layer decreases from the first to the output layer, and, as a consequence, the NN takes a pyramidal aspect. The NN takes as input a pattern  $x$  and then the first layer finds the winner neuron. The second layer takes the first layer winner weight vector as input and finds the second layer winner neuron and so on until the top layer. The activation value of the output layer neurons is 1 for the winner unit and 0 for all the others.

By varying the learning algorithms we obtain different NN's with different properties and abilities. For instance, by using only SOMs we have a Multi-layer SOM (ML-SOM) [10] where every layer is a two-dimensional grid. We can easily obtain *ML-NeuralGas*, *ML-Maximum Entropy* or *ML-K means* organized on a hierarchy of linear layers [21]. The ML-GCS has a more complex architecture and has at least 3 units for layer. By varying the learning algorithms in the different layers we can take advantage from the properties of each model (for example since we cannot have a ML-GCS with 2 output units, then we can use another NN in the output layer). A hierarchical NN with a number of output layer neurons equal to the number of the output classes simplifies the expensive post-processing step of labeling the output neurons in classes, without reducing the generalization capacity of the NN.

## 3. Star/Galaxy separation

The first step in order to perform star/galaxy separation is to identify the most significant features. Then we run an optimized *Multi-Layer Perceptron* (MLP). [4] and [17] summarize methods to overcome the problems related to local minima and slow time convergence of the above algorithm.

The object features were chosen following the literature [8], [14], [15], and selected by a simple sequential forward selection process [4], so as to select the most performing ones. In particular, we took in consideration the following features:

- Six features describing the ellipses circumscribing the objects: the photometric baricenter coordinates, the isophotal flux, the semimajor axis, the semiminor axis, the position angle, and the object area ( $A$ ) in pxls.

- Twelve features suggested by Odewahn [15]: the object diameter, the ellipticity, the average surface brightness ( $\langle SuBr \rangle$ ), the central intensity ( $I_0$ ), the filling factor, the area logarithm, the harmonic radius and five simple gradients of the light distribution  $G_{14}$ ,  $G_{13}$ ,  $G_{12}$ ,  $G_{23}$  and  $G_{34}$  defined as:

$$G_{ij} = \frac{T_j - T_i}{r_i - r_j}$$

where  $T_i$  is the average surface brightness within an ellipse, with position angle  $\alpha$ , semimajor axis  $r_i < a$  and ellipticity  $ell$ . To this aim, four equidistant radii  $r_i$  are selected with  $r_i = i a/4$ ,  $i = 1, \dots, 4$ .

- Two more features are taken from Miller [14]: the two ratios  $T_r = \langle SuBr \rangle / I_0$  and  $T_{cA} = I_0 / \sqrt{A}$ .
- Finally, five features from FOCAS [8]: the second and the fourth total moments of the light distribution, the central intensity averaged in a  $3 \times 3$  area, the ellipticity averaged over the whole object area and, finally, the "Kron" radius defined as:

$$r_{Kron} = \frac{1}{\sum_{(x,y) \in A} I(x,y)} \sum_{(x,y) \in A} I(x,y) r(x,y)$$

In order to optimize the classification system performance it is necessary to reduce the feature number. To do so we need training and test sets for a subset of our objects. In our case we selected a subset of the Infante and Pritchard catalog [7], [18] built with deeper images obtained under sub-arcsec seeing conditions. We experimented both unsupervised and supervised NN's for both the feature selection and the classification phases, but since unsupervised NN's did not reach appreciable results, in this paper we present only result with MLP's.

The sequential backward elimination strategy [4] works as follows: let us suppose to initially have all  $M$  features in one set and to run the NN's with this set. Then, we build  $M$  different sets with  $M - 1$  features each one and we run one NN for each set and take the set obtaining the best classification, in this way eliminating the less significant feature. Usually, after this first step the classification error decreases if there are noisy or redundant features. Then, we repeat these steps eliminating one feature at each step.

For what concerns supervised learning NN's, we used some MLP's [4] with one hidden layer of 20 neurons and only one output, assuming value 0 for star and value 1 for galaxy. After the training, we calculate the NN output as 1 if it is greater than 0.5 and 0 otherwise for each

pattern of the test set. The most performing learning algorithm was a hybrid conjugate gradients-quasi Newton method to take advantage of both the algorithms.

## 4. Experimental Results

### 4.1 The data

In order to test the performances of our method we used a 2000x2000 arcsec<sup>2</sup> area centered on the North Galactic Pole extracted from the slightly compressed POSS-II F plate n. 443 (available via network at the CADC). POSS-II data were linearized using the sensitometric spots recorded on the plate. The average FWHM of our data was 3 arcsec. The same area has been widely studied by others and, in particular, by [7], [18] who used deep observation obtained at the 3.6 m CFHT telescope in the  $F$  photographic band under good seeing conditions (FWHM  $< 1$  arcsec), to derive a catalogue of objects complete down to  $m_F \sim 23$ . Their catalogue is therefore based on data of much better quality and accuracy than ours.

The selected region, a relatively empty one, slightly penalizes our NN detection algorithms which easily recognize objects of quite different sizes and - on the contrary of what happens to other algorithms - work well even on very crowded area, such as the center of nearby clusters of galaxies, as our preliminary test on a portion of the Coma clusters (imaged on the same POSS-II plate) shows [22].

### 4.2 The processing

This POSS-II field was processed through several NN detection algorithms (PCA NN's, Hierarchical Unsupervised NN's, MLP's) and also through S-Extractor (=SE-x; [3]) which has come to be a standard in the astronomical community. For what the SEx application to our dataset is concerned refer to [1].

For the NN's, we used the PCA NN's to reduce the input space to 3 dimensions. Then we run the unsupervised NN's on the 3-D input related to the  $5 \times 5$  and  $3 \times 3$  running windows (in our experiments the best performing NN's were: Neural gas (NG3), ML-Neural gas (MLNG3 or MLNG5), ML-SOM (K5), GCS+ML-Neural gas (NGCS5)). We just wish to stress here that, since the background subtraction is a vital part of the detection, and in order not to give an unfair advantage to any of the detections algorithms, all algorithms including SEx, were run on the same background subtracted image.

Fig. 1 gives the number of "True" objects detected by

SEx (upper panel), *id est* objects having a counterpart in the [18] catalog. As it can be seen, the SEx catalog is incomplete for  $m_F < 21$  mag, which is roughly the plate completeness limit. The lower panel shows instead the relative performance of the NN’s, defined as the ratio between the number of “True” objects detected by the specific NN and SEx, respectively. All the NN’s and SEx turn out to be roughly equivalent in detecting “True” objects brighter than  $m_F = 21$ , while for objects fainter than the completeness limit of the plate, only MLNG5 is as efficient as SEx, followed by MLNG3. Therefore, differences among catalogs concern only galaxies fainter than the plate completeness limit.

Fig. 2 shows the number of “False” objects detected by SEx (upper panel), where “False” means objects not having a counterpart in the [7] catalog, and therefore include a few “True” objects not catalogued by [7] (mainly because they are too bright). We believe that all objects brighter than  $m_F = 20$  mag are really “True” since they are detected both by SEx and NN’s with high significance. The lower panel shows the relative performances of the NN’s, defined as the ratio of the number of “False” objects detected by the NN and by SEx. For objects brighter than  $m_F = 19$  mag, NN’s and SEx have similar performances, while at  $m_F = 19.7$  mag, SEx works better (but only for a few objects, see upper panel). NN’s catalogues present, however, less false detections. MLNG5, which is also quite efficient in detecting “True” objects, has a 20% cleaner detection rate in the highly populous bin  $m_F = 21.7$  mag. MLNG3 is less efficient in detecting “True” objects but is even cleaner of false detections.

Fig. 3 shows the number of missed objects by SEx (upper panel). “Missed” means being in the [7] catalog, but not included in our catalogs. Obviously, the step increase below 21 mag coincides with the completeness limit of our photographic material. The lower panel gives the relative performances of the NN’s, defined as the ratio between the number of objects missed by the specific NN and by SEx. MLNG3 and MLNG5 have performances almost constant at  $\sim 1$  mag, while the other NN’s miss objects at  $m_F \sim 21 - 22$  mag which, however, are still fainter than the plate completeness limit.

The class of “Missed” objects needs more attention. It is likely that most of the objects fainter than  $m_F = 21$  mag are too faint to be detected with a 100% confidence level, so we focus first on brighter objects. They can be divided in:

- objects detected by [7] which correspond to empty

regions in our images. They can be missing because variable, fast moving, or with an overestimated luminosity in [7]. They can also be missed because spurious in the template catalog or simply because they are too faint.

- “True”, nearby objects which are blended in our image but not in that of [7];
- parts of isolated single large objects incorrectly split by [7];
- a few detections aligned in the E-W direction on the two sides of the images of a bright star. They are likely false objects (diffraction spikes detected as individual objects).

Therefore, a fair fraction of the “Missed” objects are truly non existent and the performances of our detection tools are therefore lower bounded at  $m_F < 21$  mag. We wish to stress here that even though there is nothing like a perfect catalogue, the template by [7] is among the best ones ever produced to our best knowledge.

In [7], objects are classified in 2 major classes, star & galaxies, and a few minor classes (merged, noise, spike, defects, etc.), that we neglect. The efficiency of the detection is shown in Fig.4 for three representative detection algorithms: MLNG5, K5, and SEx. At  $m_F < 21$  mag, the detection efficiency is large, close to 1 and independent on the central concentration of the light. Please note that there are no objects in the image having  $m_F < 16$  mag and that in the following bin there are only 4 galaxies. At fainter magnitudes ( $\sim 22 - 23$  mag) detection efficiencies differ as a function of both the algorithm and of the light concentration. In fact, SEx, MLNG5, and to less extent K5, turn out to be more efficient in detecting galaxies rather than stars (in other words: “Missed” objects are preferentially stars). For SEx, a possible explanation is that a minimal area above the background is required in order for the object to be detected. At  $m_F \sim 22 - 23$  mag, noise fluctuations can affect the isophotal area of unresolved objects bringing it below the assumed threshold (4 pixels). This bias is minimum among the three considered detection algorithms, for the K5 NN. However, this is more likely due to the fact that K5 misses more galaxies than the other algorithms, rather than to the fact that it detects more stars.

## 5. Concluding Remarks

In conclusion: MLNG3 and MLNG5 turn out to have performances similar to SEx in detecting objects: they produce catalogs which are cleaner of false detections but, at the same time, are also slightly more incomplete than SEx.

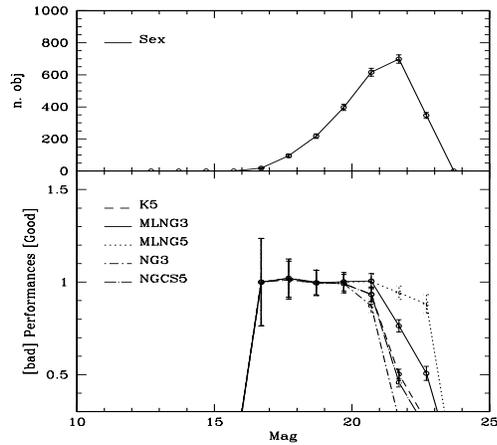


Figure 1: Number of “True” objects detected by SEx (upper panel); relative performance of the NN’s, defined as the ratio of the number of true objects detected by the NN and by SEx, respectively (lower panel).

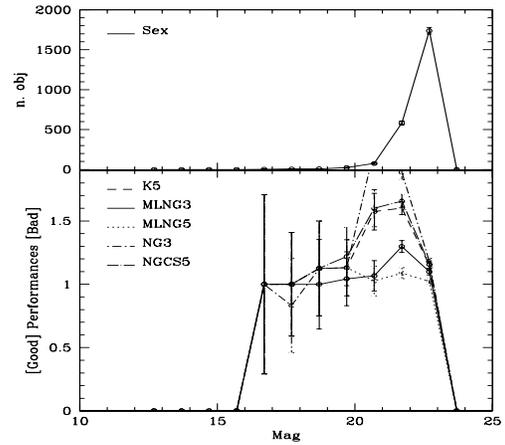


Figure 3: Number of objects “Missed” by SEx (upper panel); relative performance of the NN’s, defined as the ratio of the number of objects missed by the NN and by SEx (lower panel).

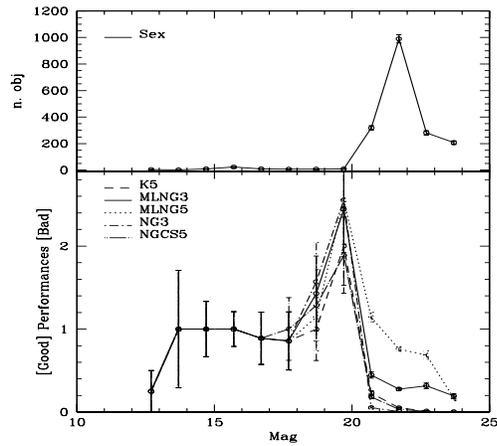


Figure 2: Number of false objects detected by SEx (upper panel); relative performance of the NNs, defined as the ratio of the number of “False” objects detected by the NN and by SEx (lower panel).

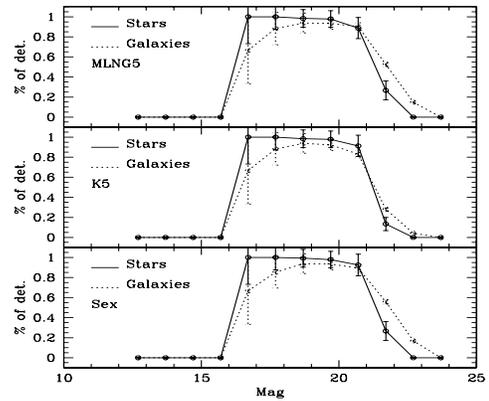


Figure 4: Percent number of detected objects by MLNG5, K5 and SEx.

We also want to stress that since the less performing NN's produce catalogs which are much cleaner of false detections, they can be used to select candidates for possible follow-up detailed studies at magnitudes where many of the objects detected by SEx would be false (i.e. the selected objects would be in large part true, and not just noise fluctuations).

A posteriori, one could argue that performances similar to those of each of the NN's could be achieved by running SEx with appropriate settings. However, it would be unfair (and methodologically wrong) to make a fine tuning of any of the detection algorithms using a posteriori knowledge.

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